



SYMYX TECHNOLOGIES, INC.  
3100 CENTRAL EXPRESSWAY  
SANTA CLARA, CA 95051  
PHONE: 408-773-4027  
FAX: 408-773-4029

**FAX TRANSMITTAL SHEET**

|       |   |            |                                  |
|-------|---|------------|----------------------------------|
| Date: | September 10, 2004  | From:      | Paul A. Stone<br>Reg. No. 38,628 |
| To:   | Commissioner of Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 | Docket No. | 98-15DIV1RCE                     |
|       |   | Fax No:    | (703) 872-9306                   |

Number of pages included in this fax: 7

|                       |  |                  |            |
|-----------------------|--|------------------|------------|
| In re Application of: | Warren et al.  | Confirmation No. | 9544       |
| Serial No.:           | 09/694,176   | Group Art Unit:  | 1742       |
| Filed:                | 10/23/2000   | Examiner:        | Leader, W. |
| For:                  | Combinatorial Electrochemical Deposition<br>and Testing System |                  |            |

Dear Sir:

Enclosed please find copies of the following documents to be filed regarding the above identified patent application:

- Transmittal (2 pages in duplicate)
- Power of Attorney and Correspondence Address Indication Form (1 page)
- Statement Under 37 CFR 3.73(b) (1 page)

Please enter these into the file and contact us if necessary.

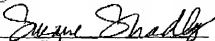
Respectfully Submitted,  
Paul A. Stone  
Reg. No. 38,628

*This facsimile message is for the sole use of the intended recipient(s) and may contain confidential and privileged information. Any unauthorized review, use, disclosure or distribution is prohibited. If you are not the intended recipient, please contact the sender and destroy all copies of the original message.*

Docket No. 98-15DIV1  
PATENT

## CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office at facsimile number (703) 872-9306 addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 9/10/04.

BY:   
Suzanne ShadleyRECEIVED  
CENTRAL FAX CENTER

SEP 10 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Warren et al.

Serial No.: 09/694,176

Filed: 10/23/2000

For: Combinatorial Electrochemical  
Deposition and Testing System

Confirmation No. 9544

Group Art Unit: 1742

Examiner: W. Leader

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450TRANSMITTAL LETTER

Sir:

Transmitted herewith (check all that apply):

- |   |  |
|---|--|
| <input type="checkbox"/> Preliminary Amendment  | <input type="checkbox"/> Supplemental Information Disclosure Statement |
| <input type="checkbox"/> Response/Amendment   | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2)              |
| <input type="checkbox"/> Response/Amendment After Final                                       | <input type="checkbox"/> Formal Drawings                               |
| <input type="checkbox"/> Supplemental Amendment   | <input type="checkbox"/> Declaration Under 37 CFR 1.131                |
| <input type="checkbox"/> Affidavits/Declarations  | <input type="checkbox"/> Declaration Under 37 CFR 1.132                |
| <input type="checkbox"/> Declaration and Power of Attorney                                    | <input type="checkbox"/> Terminal Disclaimer                           |
| <input type="checkbox"/> Supplemental Declaration   | <input type="checkbox"/> Small Entity Statement                        |
| <input type="checkbox"/> Associate Power of Attorney  | <input type="checkbox"/> Request for Refund                            |
| <input type="checkbox"/> Change of Correspondence Address                                     | <input type="checkbox"/> Appeal  |
| <input type="checkbox"/> Associate Power of Attorney  | <input type="checkbox"/> Petition                                      |
| <input type="checkbox"/> Response to Missing Parts  | <input type="checkbox"/> Request for Change of Docket Number           |
| <input checked="" type="checkbox"/> Power of Attorney and Change of<br>Correspondence Address | <input checked="" type="checkbox"/> Certificate Under 37 CFR 3.73(b)   |

to be filed in the above-identified patent application.

☒ No fee is required.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 50-0496.

A duplicate copy of this Transmittal Letter is transmitted herewith.

Respectfully submitted,



Paul A. Stone  
Reg. No. 38,628  
Attorney for Applicant(s)

Date:

*Sep. 10, 2004*

Symyx Technologies, Inc.  
3100 Central Expressway  
Santa Clara, California 95051  
Tel.: (408) 773-4027  
Fax: (408) 773-4029

PTO/SB/01 (09-03)

Approved for use through 11/30/2005. OMB 0551-0035

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

|                        |                               |
|------------------------|-------------------------------|
| Application Number     | 09/504,176                    |
| Filing Date            | 10/23/2000                    |
| First Named Inventor   | Warren et al.                 |
| Title                  | Combinatorial Electrochemical |
| Art Unit               | 1742                          |
| Examiner Name          | Leader, William T.            |
| Attorney Docket Number | 98-15 D101                    |

I hereby appoint:

☒ Practitioners associated with the Customer Number:

22905

OR

☐ Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
|      |                     |
|      |                     |
|      |                     |
|      |                     |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

☐ Firm or Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/06)

## SIGNATURE of Applicant or Assignee of Record

Name Paul A. Stone

Signature *Paul A. Stone*Date *Sept. 10, 2004*

Telephone (408) 773-4029

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/96 (09-03)

Approved for use through 07/31/2006. OMB 0951-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Warren et al.Application No./Patent No.: 09/694,176Filed/Issue Date: October 23, 2000Entitled: Combinatorial Electrochemical Deposition and Testing System

Symyx Technologies, Inc.

a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015090, Frame 0369, or for which a copy thereof is attached.

OR

B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet.

[ ] Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Sep. 10, 2004  
Date

Paul A. Stone

Typed or printed name

(408) 773-4027

Paul A. Stone  
Signature

Telephone number

V.P. &amp; Chief Patent Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form, and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.